

Horizon Europe

Framework programme for research and innovation 2021–2027

Vilka är vi?



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Överblick nyheter

- Corporate approach – Annex 5
- Läget i världen
- Daily rate istället för hourly rate
 - 215 dagar istället för 1720 timmar
 - Rapportering i eget system eller per månad. EJ BÅDA
- Interndebitering - lita mer på egen praxis
- CFS höjs från 325KEUR → 430KEUR (innefattar OH)
- Lump Sum
- Två-stegidentifiering vid inloggning på Portalen



Main differences with the H2020 MGA

more user-friendly structure and readability

- Data Sheet
- Common numbering
- Common labelling ('granting authority')
- Grouping of provisions for
 - Types of participants and their roles
 - Rules concerning project implementation
 - Payments and recoveries
 - Certificates (CFS, SPA)

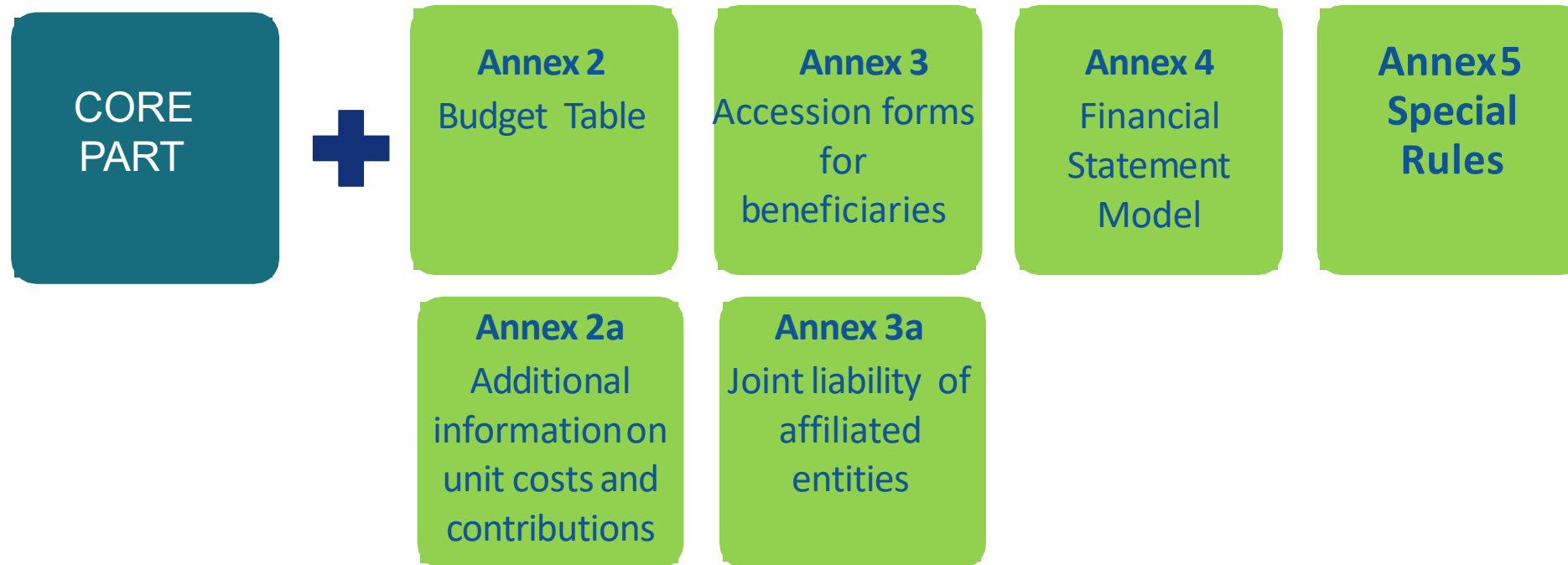
Improved content & features

- reporting explicitly divided into continuous and periodic reporting
- Amendment procedure for BEN termination, GA suspension + GA termination by consortium (instead of Formal Notification)
- less descriptive provisions (reference to published templates)

Alignment with new Financial Regulation (FR 2018)

- reduction/suspension/termination grounds
- Receipts (only for profit legal entities)

The HE MGA – General Overview



Disclaimer: Information not legally binding

Structure – Annex 5



Annex 5 Special Rules

- **Security** (Article 13)
- **Ethics** (i.e. research integrity) (Article 14)
- **Values** (i.e. gender mainstreaming) (Article 14)
- **IPR** (Article 16)
- **Communication, Dissemination, Open Science and Visibility** (Article 17)
- **Specific rules for carrying out the action** (Article 18)
 - recruitment and working conditions,
 - specific rules for access to research infrastructure actions,
 - specific rules for PCP and PPI procurements,
 - specific rules for co-funded partnerships,
 - specific rules for ERC actions,
 - specific rules for EIT-KIC actions,
 - specific rules for MSCAactions
 - Specific rules for EIC actions

Disclaimer: Information not legally binding

Main types of projects

Research and Innovation Actions (RIA) / Forsknings- och Innovationsprojekt

Innovation Actions (IA) / Innovationsprojekt

Coordination and Support Actions (CSA) / Koordinerings- och stödinsatser

Pre-Commercial Procurement actions (PCP) / Förkommersiell upphandling (PPI/PCP) (innovationsupphandling)

EU-partnerships (co-programmed, co-financed, institutionalised)

Definition kostnader

Direkta kostnader:

- Kostnader som är direkt knutna till aktiviteter i projektet

Indirekta kostnader

- Kostnader som ej kan knytas direkt till projektet

Projektbidrag			
Typ av projekt	Typ av organisation	"Overhead" Indirekta kostnader	Bidrag %
Research and Innovation Action (RIA) (Forskningsprojekt)	Alla	25% av de direkta kostnaderna	100
Innovation Action (IA) (Innovationsprojekt)	Vinstdrivande	25% av de direkta kostnaderna	70
	Icke vinstdrivande	25% av de direkta kostnaderna	100
Coordination and Support Action (CSA)	Alla	25% av de direkta kostnaderna	100

Kostnadsformer

Actual costs

- Faktiska kostnader (ej budgeterade)

Unit costs

- En fast summa per enhet (Kommissionen) (t.ex SME owners)
- For average personnel cost (based on the usual accounting practices)

Flat rate

- En procentsats som beräknas på de berättigande kostnaderna
- (Indirekta kostnader = 25 % Direkta kostnader)

Lump sum

- Ett sammanlagt belopp för att täcka en eller flera kategorier av kostnader
- Som till exempel fas 1 av SME instrumentet

Budgetkategorier

- Personalkostnader: löner inkl. LKP...
- Andra direkta kostnader: förbrukningsvaror, resor, logi, avskrivningar...
- Underleverantörer: konsulter, (inkl. skatter och påslag).
- Tredje parts kostnader, motsvarande de faktiska kostnaderna



Tillåtna kostnader

- Faktiska (inkl CFS)
- Uppstått under projekttiden
- Budgeterade
- Nödvändiga
- Bokförda
- Lagliga

Ej tillåtna

- Ränta
- Vinster
- Valutaförlust
- Överspendering
- Avdragsgill moms
- Dubbelfinansiering

Personalkostnader

Personalkostnader

H2020

Årsproduktiv tid:

- 1720 timmar
- Individuellt
- Standardberäkning per organisation

Unit cost

Per månad

Lump sum

HEU

Årsproduktiv tid:

- 215 dagar

Unit cost

Lump sum

Formula

$$\text{Personnel costs} = \text{Daily rate} \times \text{Days worked in the project}$$



Daily rate calculation

How?

$$\text{Daily rate} = \frac{\text{actual annual personnel costs for the person}}{215^*}$$



* For Horizon Europe: Still possible to **deduct actual working days spent on parental leave** from the fixed number of 215 days

Days worked – record keeping

Days worked



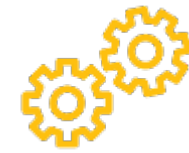
- use reliable **time records** (i.e. time-sheets) either on paper or in a computer-based time recording system.

Or

- sign a monthly declaration on days spent for the action (template under development).



Time recording system in hours



'Day-equivalent' → 3 conversion rules at hand

1. A conversion based on the average number of **hours that the person must work per working day according to her/his contract.**

Example: if the contract says that the person must work 37,5 hours per week distributed in 5 working days, a day-equivalent for the person is 7,5 hours (37,5 / 5). In the same example, if the person works 50 % part-time, the day-equivalent would be 3,75 hours (18,75 / 5).

2. A conversion based on the **usual standard annual productive hours** of the beneficiary, if it is at least 90% of the workable time (i.e. continuity with H2020)

Example:

Standard annual productive hours of the beneficiary = 1600 Standard annual workable hours of the beneficiary = 1720

1720 x 90% = 1548 < 1600

1600/215 => 7.44 hours = 1 day-equivalent

3. A conversion based on a fixed number of hours (e.g. for beneficiaries with no reference in their contracts nor standard annual productive hours):
1 day-equivalent = 8 hours

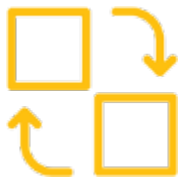
Time recording system in hours

'Day-equivalent': When to do the conversion?

Each time that you have to calculate a daily rate → **per calendaryear**

For example at the time of a reporting period:

If a daily rate is calculated for year 2021, the beneficiary must convert into day-equivalents the total number of hours worked by the person on the action during 2021 altogether.



Övriga direkta kostnader

- ”Goods, works and services”
- **Ej beskrivna i Annex I**
- Nödvändiga för implementeringen
- Öppen, transparent process
- Ej intressekonflikt

Interdebitering

1.1.4 They must be **calculated** using the actual costs recorded in the beneficiary's accounts, excluding any ineligible costs or costs already included in other budget categories:

- if necessary, the unit cost must be adjusted to remove:
 - cost elements that are ineligible under the Grant Agreement (even if they are part of the beneficiary's usual methodology for determining the unit cost for its internal invoices)

***Example:** The beneficiary uses internal invoices for the use of an electronic microscope based on a unit cost per hour of use. The methodology to calculate the unit cost includes costs of capital (e.g. interest charged by the bank for a loan used to buy the microscope). Those costs are ineligible under the Grant Agreement (see Article 6.3) and must therefore be removed. The unit cost must be recalculated without them.*

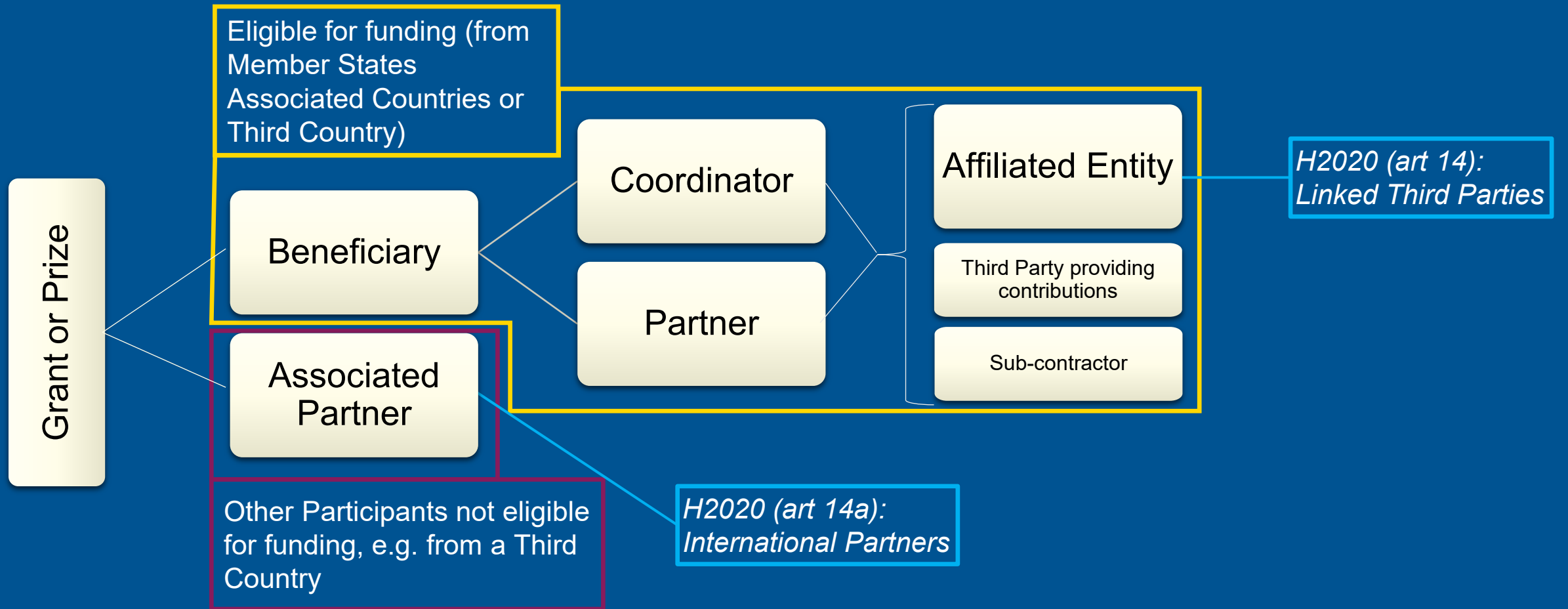


A beneficiary can NOT simply apply an estimated percentage of deduction on the amount of its internal invoices to remove ineligible costs. It has to ensure that the internal invoice does not include any ineligible costs.

Beneficiaries, affiliated entities, other participants



Roles and definitions



Definitions in the Funding and Tenders Portal

- Eligible entities from Associated Countries are added as **Partner**
- Entities not eligible for funding, for example from a Third Country are added as **Associated Partner**

The screenshot shows a 'Partner' management interface. At the top, a green callout box says 'Add an Affiliated Entity connected to a project Partner (former Third Party)'. Below this, a button labeled 'Add Affiliated Entity +' is highlighted with a green box. To the right, there is a 'Contacts' section with a contact named 'Test Test - Main contact'. Below the contact list, there are buttons for 'Change organisation' and 'Contact organisation'. At the bottom of the interface, two buttons are visible: 'Add partner +' and 'Add Associated partner +'. A large green callout box on the left explains that 'Add partner +' is for eligible entities (Member State, Associated Country, or specifically mentioned in topic text). A smaller green callout box on the right explains that 'Add Associated partner +' is for non-eligible entities (Third Country). At the bottom right, there are 'SAVE' and 'SAVE AND GO TO NEXT STEP' buttons.

Add an Affiliated Entity connected to a project Partner (former Third Party)

Partner

3 Add Affiliated Entity +

Contacts: Add contact +

Test Test - Main contact

PIC: [redacted]

Change organisation Contact organisation

Add partner + Add Associated partner +

SAVE SAVE AND GO TO NEXT STEP

Add an eligible Beneficiary/Partner to the project, i.e. org. from a;

- Member State
- Associated Country
- Country specifically pointed out in the topic text eligible for funding

Add a partner to the project, NOT eligible for funding e.g. org. from a

- Third Country

Third party In kind contribution?

- Finns ingen egen artikel till denna kategori längre som i H2020 (art 11 & art 12 i AMGA H2020) se artikel 6 och punkt 9.2 i Annex 5:


General > Article 6.1 In-kind contributions for free

6. Conditions for eligible in-kind contributions for free (HE)

If eligible under the Grant Agreement (*only for HE*), the beneficiaries/affiliated entities may charge costs for in-kind contributions made available for free.

What? These cover the costs, which a third party has for resources it contributes to the action for free (*i.e. made available for free for use by the project*).

They must be **declared** under the budget category the beneficiary would use if they were its own costs (*e.g. 'Personnel costs for seconded persons', 'Equipment costs', 'Costs for other goods, works and services', etc*), as actual or unit cost, depending on the rules of the budget category.

 In-kind contributions refers only to the case where a third party makes available some of its resources to a beneficiary, **for free** (*i.e. without any payment; new for 2021-2027*). In this case, the beneficiary itself makes no payment and there is therefore NO cost incurred by the beneficiary. The costs are therefore normally NOT eligible (unless specifically provided for in the EU Programme Programme Regulation, *e.g. for HE*) Grant Agreement.

By contrast, if there is any payment between the third party and the beneficiary related to the in-kind contribution provided, the beneficiary can declare the corresponding costs as:

- personnel costs for seconded persons (*see Article 6.2.A.3*)
- renting costs for equipment (*see Article 6.2.C.2*) or
- purchase costs for other goods, works and services (*see Article 6.2.C.3*).

Why use lump sum funding?

Significant potential for simplification and reducing errors

- Funding based on reimbursement of real costs is complex and error-prone

Focus on performance

- Focus less on financial management and more on content

European Court of Auditors recommendation

- Test, assess, and improve lump sum funding on a larger scale (special report No 28/2018)

Horizon Europe Regulation

- Recital (80) [Grants] should be implemented taking into account [...] lump sums, flat rates or unit costs, with a view to further simplification.

Which calls will use lump sums?

- Each cluster or programme part decides their lump sum calls
 - This is part of preparing the work programme.
 - A final list of lump sum calls is not yet available.
- Work programme 2022
 - A limited number of lump sum calls will be introduced with the work programme amendment in April 2022.
 - To allow enough time for applicants, this will mostly concern calls that open in September 2022 or later.
- Work programme 2023-2024
 - A substantial share of 2023 calls will use lump sum funding.
 - The share is not fixed, but we expect a significant contribution to a lower error rate.
 - E.g., 50% lump sum funding could reduce the error rate from 4% to 2%.

What changes compared with H2020?

- **The lump sum approach remains mostly unchanged**
 - Options 1 and 2 as before, using standard rules and processes wherever possible
 - Same reporting except focus on completed work packages
 - Same payment schedule with payments linked to completed work packages
- **Easier amendments**
 - A technical review is no longer needed, making amendments essentially the same as for standard grants.
- **Guidance and IT support**
 - Guidance for H2020 lump sums is principally still valid. Updated, improved versions will be released in the next months. FAQs and slides for applicants are already updated.
 - Detailed lump sum budget table will be implemented in the online submission system (Portal), replacing the current Excel tool

Two factor identification

The image shows a screenshot of the EU Login portal. The main content area displays a 'Welcome back' message for the user 'annika.stromdahl@vinnova.se (External)'. Below the message is a password field and a 'Sign in' button. A dropdown menu for 'Choose your verification method' is open, showing 'EU Login Mobile App QR Code' as the selected option. To the right of the main content is a sidebar with several verification methods listed, each with an icon and a brief description. Two red arrows point from the 'Login' button in the top navigation bar to the 'EU Login Mobile App QR Code' option in the dropdown menu, and from the 'EU Login Mobile App QR Code' option in the dropdown menu to the corresponding item in the sidebar.

European Commission | Funding & tender opportunities | Single Electronic Data Interchange Area (SEDIA) | English | Register | Login | Get started

SEARCH FUNDING & TENDERS | HOW TO PARTICIPATE | PROJECTS & RESULTS | WORK AS AN EXPERT | SUPPORT

Welcome back

annika.stromdahl@vinnova.se
(External)


[Sign in with a different e-mail address?](#)

Password








.....


[Lost your password?](#)

Choose your verification method

-  **EU Login Mobile App QR Code**
Code
Generate a one-time-password with the EU Login Mobile app.


Sign in

-  **EU Login Mobile App PIN Code**
Use your registered EU Login Mobile app to verify your identity.
-  **EU Login Mobile App QR Code**
Generate a one-time-password with the EU Login Mobile app.
-  **Mobile Phone + SMS**
Send a text message to a registered mobile phone number for a multi-factor authentication.
-  **Token**
Use a VASCO token to generate a one-time-password to login.
-  **Token CRAM**
Use a CRAM token to generate a one-time-password.
-  **eID Authentication**
Sign in with your eID
-  **Security Key or Trusted Platform**
Use a security key or trusted platform to authenticate with EU Login.



Funding & tender opportunities

Single Electronic Data Interchange Area (SEDIA)

English 

[Register](#) [Login](#)

[SEARCH FUNDING & TENDERS](#) [HOW TO PARTICIPATE](#) [PROJECTS & RESULTS](#) [WORK AS AN EXPERT](#) [SUPPORT](#)

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Find calls for proposals and tenders

Search calls for proposals and tenders by keywords, programmes...

[Search](#)

EU Programmes

Asylum, Migration and Integration Fund (AMIF)	Border Management and Visa Policy Instrument (BMVI)	Citizens, Equality, Rights and Values Programme (CERV)	Connecting Europe Facility (CEF)	Creative Europe Programme (CREA)	Customs Control Equipment Instrument (CCEI)
Customs Programme (CUST)	Digital Europe Programme (DIGITAL)	Erasmus+ (ERASMUS+)	EU External Action (RELEX)	EU4Health Programme (EU4H)	Euratom Research and Training Programme (EURATOM)
Europe Direct (ED)	European Defence Fund (EDF)	European Maritime, Fisheries and Aquaculture Fund (EMFAF)	European Parliament (EP)	European Social Fund + (ESF)	European Solidarity Corps (ESC)
Fiscalis Programme (FISC)	Horizon Europe (HORIZON)	Information Measures for the EU Cohesion policy (IMREG)	Innovation Fund (INNOVFUND)	Internal Security Fund (ISF)	Interregional Innovation Investments Instrument (I3)
Just Transition Mechanism (JTM)	Justice Programme (JUST)	Neighbourhood, Development and International Cooperation	Pilot Projects and Preparation Actions (PPPA)	Programme for the Environment and Climate Action (LIFE)	Programme for the Protection of the Euro against Counterfeiting

News

Apr 16, 2023

How to secure your F&T Portal account with two-factor authentication – 10 May webinar for all F&T Portal users

The threats to cybersecurity are increasing. All users of the Funding & Tenders Portal are strongly encouraged to establish two-factor authentication for their ...

Apr 11, 2023

Showcase of EU Cleantech Innovations with European Business Angels Network

Listen to the six handpicked start-ups which have received EU funding and are now raising funds to enter the market or scale up their businesses. This session p...

Apr 3, 2023



El seminario web sobre OPSYS del 6 de abril ha sido reprogramado para el 20 de abril | OPSYS Webinar in Spanish rescheduled from 6 April to 20 April

[SPA] Estimados Socios de Ejecución, El seminario web del 6 de abril ha sido reprogramado para el 20 de abril (sin cambiar el horario) después de las vacaciones...



[All news >](#)

Events



Horizon Europe Coordinators' Day: Grant Management

 8 Jun 2023  09:30

Info session on Horizon Results Booster – steering research towards a strong societal impact

 24 May 2023  10:00

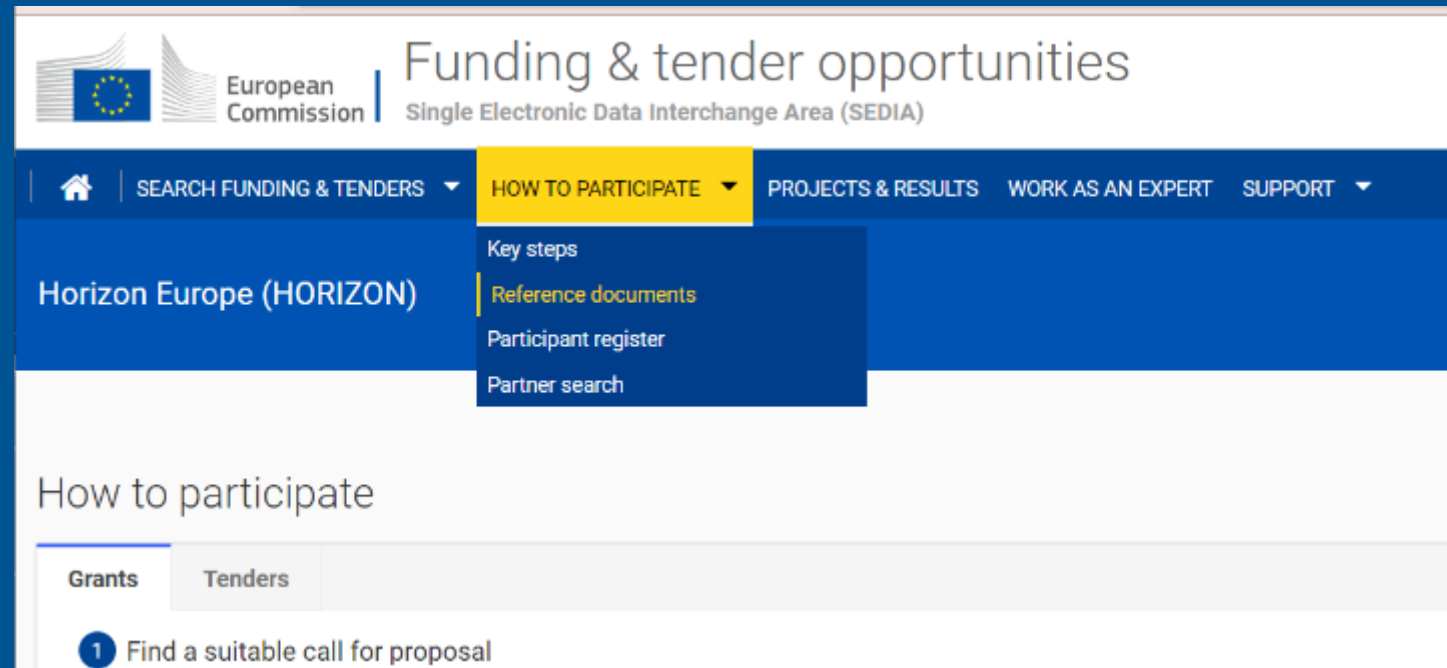
Lump Sum Funding in Horizon Europe: How does it work? How to write a proposal?

 11 May 2023  10:00

Funding and Tender Opportunities – Reference Documents

Topp tre dokument:

- **General Model Grant Agreement**
 - Definierar Model Grant Agreement
- **Annotated Model Grant Agreement**
 - Inkluderar förklarande text till alla paragrafer i MGA
- **General Annexes**
 - Behörighet för att delta, finansieringsgrader, utvärdering etc.



The screenshot displays the top navigation bar of the European Commission's 'Funding & tender opportunities' website. The header includes the European Commission logo and the text 'Single Electronic Data Interchange Area (SEDIA)'. The main navigation menu features several options: 'SEARCH FUNDING & TENDERS', 'HOW TO PARTICIPATE' (highlighted in yellow), 'PROJECTS & RESULTS', 'WORK AS AN EXPERT', and 'SUPPORT'. A dropdown menu is open under 'HOW TO PARTICIPATE', listing 'Key steps', 'Reference documents' (highlighted in yellow), 'Participant register', and 'Partner search'. Below the navigation, the page title 'How to participate' is visible, followed by two tabs: 'Grants' and 'Tenders'. A numbered step '1 Find a suitable call for proposal' is shown at the bottom of the page.

Horizon Europe (HORIZON)

Accessing and downloading of documents in the Grant and Audit Management Services will not function from Friday, 21.04.2023, 20:00 until Sunday

Programming period: 2021-2027

Reference Documents

Horizon Europe (HORIZON)

Clear filter

Grants

This page includes reference documents of the programmes managed on the EU Fundir and guides for specific actions.

Please select the programme to see the reference documents.

Procurement

Reference Documents related to tendering opportunities are published on TED eTenderi

- Filter
- Legislation
 - Work programme & call documents
 - Grant agreements and contracts
 - Simplified cost decisions
 - Guidance
 - Templates & forms
 - Experts lists
 - Funding & Tenders Portal

- Legislation
 - HE Framework Programme Regulation 2021/695
 - HE Specific Programme Decision 2021/764
 - EU Financial Regulation 2018/1046
- Work programme & call documents
- Grant agreements and contracts
 - HE MGA v1.1
 - HE Unit MGA v1.1
 - Lump Sum MGA v1.0
 - Operating Grants MGA v1.0
 - Framework Partnership Agreement FPA v1.0
- Simplified cost decisions
- Guidance
 - HE Programme Guide
 - HE List of eligible countries
 - HE Complementary funding mechanisms in third countries
 - Online Manual
 - Rules for Legal Entity Validation, LEAR Appointment and Financial Capacity Assessment
 - Amendment Guide
 - AGA- Annotated Grant Agreement
 - Indicative Audit Programme (IAP)
 - Rules for Grant Reductions
 - Rules for Arbitration
- Templates & forms
- Experts lists
- Funding & Tenders Portal

När får jag pengarna?



I praktiken



PROJECT PERIODIC REPORT

Grant Agreement number:
Project acronym:

Funding Scheme:
Date of latest version of Annex I against which the assessment will be made:

Periodic report: 1st 2nd 3rd 4th
Period covered: from 1/5/2008 to 31/10/2009

Name, title and organisation of the scientific representative of the project's coordinator²:

Project website³ address:



FINAL REPORT

Grant Agreement number:
Project acronym:

Funding Scheme:
Date of latest version of Annex I against which the assessment will be made:

Periodic report: 1st 2nd 3rd 4th
Period covered: from 1/5/2008 to 31/10/2009

Name, title and organisation of the scientific representative of the project's coordinator²:

Project website³ address:



Summering

Rapportera alla tillåtna kostnader

Rapportera verkliga kostnader, INTE budget

Intäkter ska inkluderas vid slutrapporteringen

CFS lämnas in vid slutrapportering

Förklara skillnader jämfört med budget

Titta i Portalen efter senaste info om bland annat mallar och uppdateringar i regelverket



Legala och finansiella frågor H2020

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Nyhetsbrev

Registreringsformulär för att få utskicket

"EU-info: legal och finans"

[EU-info: legal och finans \(office.com\)](https://office.com)

